

The space of foliations (in positive characteristic)

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Seminário de Geometria Algébrica e Geometria Complexa da UFF

June 04, 2025

Structure

- Part I: Introduction

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- Part II: Codimension-one case in small characteristics/degree

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- Part III: Special irreducible components

Part I: Introduction

Foliations in projective spaces

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- The q -form ω is **locally decomposable**:

$$i_v \omega \wedge \omega = 0 \quad \forall v \in \bigwedge^{q-1} \mathbb{A}_K^{n+1} \tag{2}$$

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- The q -form ω is **locally decomposable**:

$$i_v \omega \wedge \omega = 0 \quad \forall v \in \bigwedge^{q-1} \mathbb{A}_K^{n+1} \quad (2)$$

- The q -form ω is **integrable**:

$$i_v \omega \wedge d\omega = 0 \quad \forall v \in \bigwedge^{q-1} \mathbb{A}_K^{n+1} \quad (3)$$

Foliation in projective spaces

It follows from Euler's sequence that we can identify $H^0(\mathbb{P}_K^n, \Omega_{\mathbb{P}_K^n}^q(d+q+1))$ with the vector space of homogeneous polynomial q -forms

$$\omega = \sum_{0 \leq i_1 < \dots < i_q \leq n} a_I(x_0, \dots, x_n) dx_I$$

which are annihilated by the radial vector field $R = \sum_{i=0} x_i \partial_{x_i}$

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- A **projective q -form of degree $d+q+1$** is a homogeneous q -form in \mathbb{A}_K^{n+1} representing an element of $H^0(\mathbb{P}_K^n, \Omega_{\mathbb{P}_K^n}^q(d+q+1))$

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Example: Let $\alpha \in K^*$. The 1-form

$$\omega = yzdx - \alpha xzdy + (\alpha - 1)xydz.$$

is a projective 1-form of degree 3 on \mathbb{A}_K^3 and defines a foliation of degree 1 on \mathbb{P}_K^2 .

The space of foliation in projective spaces

The **space of codimension q foliations of degree d** on the projective space \mathbb{P}_K^n is the quasi-projective variety of $\mathbb{P}H^0(\mathbb{P}_K^n, \Omega_{\mathbb{P}_K^n}^q(d+q+1))$:

$$\text{Fol}_d^q(\mathbb{P}_K^n) = \{[\omega] \in \mathbb{P}H^0(\mathbb{P}_K^n, \Omega_{\mathbb{P}_K^n}^q(d+q+1)) \mid \omega \text{ satisfies 1, 2 and 3}\}$$

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For all integers $d \geq 0$, $1 \leq q \leq n-1$, and $n \geq 3$, describe the irreducible components of $\text{Fol}_d^q(\mathbb{P}_{\mathbb{C}}^n)$.

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- Note that $\text{Fol}_d^1(\mathbb{P}_K^2)$ is irreducible, as the integrability condition holds automatically for dimensional reasons.

Codimension one foliations on projective spaces

A codimension one foliation of degree d on \mathbb{P}_K^n is given by a homogeneous 1-form on the affine space \mathbb{A}_K^{n+1}

$$\sigma = A_0(x_0, \dots, x_n)dx_0 + \dots + A_n(x_0, \dots, x_n)dx_n \in H^0(\mathbb{P}_K^n, \Omega_{\mathbb{P}_K^n}^1(d+2))$$

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where $A_0, \dots, A_n \in K[x_0, \dots, x_n]$ are homogeneous of degree $d+1$ and such that $\text{sing}(\sigma) = \mathcal{Z}(A_0, \dots, A_n)$ has codimension ≥ 2 and with σ having the following properties:

$$i_R \sigma = \sum_i A_i x_i = 0 \quad \sigma \wedge d\sigma = 0.$$

Codimension one foliations on projective spaces

The integrability condition gives equations:

$$A_i \left(\frac{\partial A_l}{\partial x_j} - \frac{\partial A_j}{\partial x_l} \right) + A_j \left(\frac{\partial A_i}{\partial x_l} - \frac{\partial A_l}{\partial x_i} \right) + A_l \left(\frac{\partial A_j}{\partial x_i} - \frac{\partial A_i}{\partial x_j} \right) = 0$$

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The space of codimension one foliations of degree $d \geq 0$ on \mathbb{P}_K^n ($n \geq 2$) is

$$\text{Fol}_d^1(\mathbb{P}_K^n) = \{[\omega] \in \mathbb{P}(\text{H}^0(\mathbb{P}_K^n, \Omega_{\mathbb{P}_K^n}^1(d+2))) \mid \omega \wedge d\omega = 0 \text{ and } \text{codim sing}(\omega) \geq 2\}$$

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Problem

Describe the irreducible components of $\text{Fol}_d^1(\mathbb{P}_{\mathbb{C}}^n)$.

Some irreducible components of $\text{Fol}_d^1(\mathbb{P}_K^n)$

Some known results:

- **Degree zero and one:** $\text{Fol}_0^1(\mathbb{P}_{\mathbb{C}}^n)$ is irreducible and identified with the Grassmannian of lines in $\mathbb{P}_{\mathbb{C}}^n$. When $d = 1$, the space $\text{Fol}_1^1(\mathbb{P}_{\mathbb{C}}^n)$ has exactly two irreducible components.¹²

¹Alcides Lins Neto, **Irreducible components of the space of foliations**

²F. Loray, J. V. Pereira, and F. Touzet. **Foliations with trivial canonical bundle on Fano 3-folds**

³**Irreducible components of the space of holomorphic foliations of degree two in $\mathbb{CP}(n)$**

⁴Maurício Corrêa and Alan Muniz **Holomorphic foliations of degree two and arbitrary dimension**

⁵**Codimension one foliations of degree three on projective spaces**

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- **Degree two:** For codimension one foliations of degree $d = 2$ on $\mathbb{P}_{\mathbb{C}}^n$, Cerveau and Lins Neto showed³ that $\text{Fol}_2^1(\mathbb{P}_{\mathbb{C}}^n)$ has exactly six irreducible components and described them explicitly.⁴

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- **Degree three:** In a recent work⁵, R.C. da Costa, R. Lizarbe, and J.V. Pereira used a structure theorem to describe exactly 18 irreducible components of $\text{Fol}_3^1(\mathbb{P}_{\mathbb{C}}^n)$ whose generic element admits no meromorphic first integral. They also show that $\text{Fol}_3^1(\mathbb{P}_{\mathbb{C}}^n)$ has at least 24 distinct irreducible components.

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Components in degree $d \geq 3$

- **Rational components:** Let F, G be irreducible homogeneous polynomials of degrees p and q , respectively. Assume F and G are coprime and $d = p + q - 2$. Then, $\omega = qFdG - pGdF$ defines a foliation on $\mathbb{P}_{\mathbb{C}}^n$ of degree d . Let $\mathcal{R}(p, q)$ denote the set of such foliations. The closure $\overline{\mathcal{R}(p, q)}$ is an irreducible component of $\text{Fol}_d^1(\mathbb{P}_{\mathbb{C}}^n)$.⁶⁷⁸

⁶Gómez-Mont and A. Lins Neto — **Structural stability of foliations with a meromorphic first integral**

⁷F. Cukierman, J. V. Pereira, I. Vainsencher — **Stability of foliations induced by rational maps**

⁸W. Mendson and J. V. Pereira — **The space of foliations on projective spaces in positive characteristic**

⁹Cerveau, Lins Neto and Edixhoven — **Pull-back components of the space of holomorphic foliations on $\mathbb{CP}(n)$, $n \geq 3$**

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- **Pullback components:** Let \mathcal{G} be a codimension one foliation on $\mathbb{P}_{\mathbb{C}}^2$ of degree e , defined by a projective 1-form ω . Let $F: \mathbb{P}_{\mathbb{C}}^n \dashrightarrow \mathbb{P}_{\mathbb{C}}^2$ be a dominant rational map of degree m . Then $F^*\omega$ defines a foliation of degree $d = (e + 2)m - 2$ on $\mathbb{P}_{\mathbb{C}}^n$. Let $\text{PB}(m, e, n)$ be the set of these foliations. Then $\overline{\text{PB}(m, e, n)}$ is an irreducible component of $\text{Fol}_d^1(\mathbb{P}_{\mathbb{C}}^n)$.⁹

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Components in degree $d \geq 3$

- **Logarithmic components:** Let $d_1, d_2, \dots, d_r \in \mathbb{Z}_{>0}$ and F_1, \dots, F_r be homogeneous polynomials with $\deg(F_i) = d_i$. Assume F_1, \dots, F_r are irreducible and pairwise coprime. Let $\alpha_1, \dots, \alpha_r \in \mathbb{C}^*$ such that $\sum_{i=1}^r \alpha_i d_i = 0$ and consider the 1-form

$$\Omega = F_1 F_2 \cdots F_r \sum_{i=1}^r \alpha_i \frac{dF_i}{F_i}.$$

The 1-form Ω defines a foliation \mathcal{F}_Ω of codimension one and degree $d = \sum_i d_i - 2$ on $\mathbb{P}_{\mathbb{C}}^n$. In this case, we say that \mathcal{F}_Ω is a logarithmic foliation of type (d_1, \dots, d_r) . Let $\text{Log}_n(d_1, \dots, d_r)$ denote the set of such foliations. Then the closure $\overline{\text{Log}_n(d_1, \dots, d_r)}$ is an irreducible component of $\text{Fol}_d^1(\mathbb{P}_{\mathbb{C}}^n)$.¹⁰¹¹¹²

¹⁰O. Calvo-Andrade — **Irreducible components of the space of foliations**

¹¹F. Cukierman, J. Gargiulo and C.D. Massri — **Stability of logarithmic differential one-forms**

¹²W. Mendson and J. V. Pereira — **The space of foliations in projective spaces in positive characteristic**

Irreducible components via reduction mod p

The technique of reduction modulo p for codimension one foliation on the projective spaces can be used to give a proof of the following theorem about irreducible components of $\text{Fol}_d^1(\mathbb{P}_{\mathbb{C}}^n)$:

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Theorem

^{a,b} Let $d \in \mathbb{Z}_{\geq 3}$ and $d_1, d_2 \in \mathbb{Z}_{>0}$ such that $d = d_1 + d_2 + 2$. Let $\text{PBB}(d_1, d_2)$ be the set of foliations on $\mathbb{P}_{\mathbb{C}}^n$ that are linear pullback of a foliation of type (d_1, d_2) on $\mathbb{P}_{\mathbb{C}}^1 \times \mathbb{P}_{\mathbb{C}}^1$. Then $\overline{\text{PBB}(d_1, d_2)}$ is an irreducible component of $\text{Fol}_d^1(\mathbb{P}_{\mathbb{C}}^n)$.

^aW. Mendson - **Folheações de codimensão um em característica positiva e aplicações**

^bW. Mendson, J. V. Pereira - **Codimension one foliations in positive characteristic**

The topics in the proof include:

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The topics in the proof include:

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The topics in the proof include:

- the structure of the p -divisor for generic foliations on $\mathbb{P}_K^1 \times \mathbb{P}_K^1$;
- proving the analogous theorem in positive characteristic and lift to characteristic 0.

Part II: Codimension one in small characteristics/degree

Technical lemma

We will make use of Medeiros' description of linear, locally decomposable, and integrable differential forms. The original statement was formulated over the field \mathbb{C} , but the result remains valid over any algebraically closed field K .

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② ω can be written as

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Moreover, if ω is integrable, then in Case 1 $\alpha = df$ for some quadratic polynomial $f \in K[x_0, \dots, x_n]$ and, in Case 2, the polynomials A_i belong to $K[x_0, \dots, x_q]$.

^aA. S de Medeiros — **Structural stability of integrable differential forms**

^bW. Mendson and J. V. Pereira — **The space of foliations on projective spaces in positive characteristic**

Degree zero

Theorem

^a Let K be an algebraically closed field. Let $n \geq 3$ and $1 \leq q \leq n - 1$ be integers.

- If the characteristic of K is different from 2, or if $q > 1$, then foliations of degree zero are defined by linear projections $\mathbb{P}_K^n \dashrightarrow \mathbb{P}_K^q$.
- If the characteristic of K is 2 and $q = 1$, then $\text{Fol}_0^1(\mathbb{P}_K^n) = \mathbb{P}H^0(\mathbb{P}_K^n, \Omega_{\mathbb{P}_K^n}^1(2))$.

In all cases, $\text{Fol}_0^q(\mathbb{P}_K^n)$ is an irreducible algebraic variety.

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^aW. Mendson and J. V. Pereira — **The space of foliations on projective spaces in positive characteristic**

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- The second case corresponds to the **linear pullback**:

$$\omega = \sum_{i=0}^q A_i dx_0 \wedge \cdots \wedge \widehat{dx_i} \wedge \cdots \wedge dx_q.$$

with $A_i \in K[x_0, \dots, x_q]$.

Some irreducible components in characteristic $p > 0$

Proposition

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^a Let $d > 0$. If K has characteristic $p > d$, then $\overline{PB(1, d, n)}$ is an irreducible component of $Fol_d^1(\mathbb{P}_K^n)$.

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- ② If $p = 3$ then $\text{Fol}_1^1(\mathbb{P}_K^n)$ has exactly two irreducible components: $\overline{\text{PB}(1, 1, n)}$ and $\overline{Cl_1(\mathbb{P}_K^n)}$.
- ③ If $p \notin \{2, 3\}$ then $\text{Fol}_1^1(\mathbb{P}_K^n)$ has exactly two irreducible components: $\overline{\text{PB}(1, 1, n)}$ and $\overline{\mathcal{R}(1, 2)}$

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- **Case 1:** $d\omega = df \wedge dx_0$ for some quadratic function $f \in K[x_0, \dots, x_n]$, or
- **Case 2:** $d\omega = \sum_{i,j \in \{0,1,2\}} A_{ij} dx_i \wedge dx_j$ for some constants $A_{ij} \in K$.

Degree one

- If $p = 2$ and $d\omega$ is as in Case 1, then

$$\omega = 3\omega = i_R(d\omega) = 2fdx_0 + x_0df = x_0df.$$

This implies that ω is either identically zero or that ω has a zero locus of codimension one. In either case, ω does not define a codimension foliation of degree one. Thus, in characteristic two, the only irreducible component of $\text{Fol}_1^1(\mathbb{P}_K^n)$ is $\overline{\text{PB}(1, 1, n)}$.

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- If $p \neq 3$ and $d\omega$ is as in Case 2, then multiplying its contraction the radial vector field by $1/3$ recovers ω , showing that it depends only one the variables x_0, x_1, x_2 . Thus, it defines a foliation in $\overline{\text{PB}(1, 1, n)}$.

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Part III: Special irreducible components

Special foliations in codimension one

Fix K of characteristic $p > 0$ and let $F \in H^0(\mathbb{P}_K^n, \mathcal{O}_{\mathbb{P}_K^n}(p))$. Note that dF defines an element of $H^0(\mathbb{P}_K^n, \Omega_{\mathbb{P}_K^n}^1(p))$ and thus defines a foliation if $\text{codim sing}(dF) \geq 2$.

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$$\begin{aligned} \Phi: \mathbb{P}(\mathbf{S}_p) &\dashrightarrow \text{Fol}_{p-2}^1(\mathbb{P}_K^n) \\ [F] &\mapsto [dF]. \end{aligned}$$

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Let $Cl_{p-2}(\mathbb{P}_K^n)$ be the Zariski closure of the image Φ . Then, $Cl_{p-2}(\mathbb{P}_K^n)$ is an irreducible component of $\text{Fol}_{p-2}^1(\mathbb{P}_K^n)$.

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Lemma

^{a,b} Let $X \subset \text{Fol}_d^q(\mathbb{P}_K^n)$ be an irreducible subvariety. If there exists $[\omega] \in X$ such that the Zariski tangent space of $\text{Fol}_d^q(\mathbb{P}_K^n)$ has dimension equal to the dimension of X then X is an irreducible component of $\text{Fol}_d^q(\mathbb{P}_K^n)$.

^aR. C. da Costa, R. Lizarbe and J. V. Pereira — **Codimension one foliations of degree three on projective spaces**

^bAndrew Hubery — **Irreducible components of quiver Grassmannians,**

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of Φ at the point F is surjective.

¹⁴K. Saito **On a generalization of de Rham lemma**

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- Choose F such that $\mathrm{sing}(dF)$ has **codimension at least three**, and take $\omega_t = dF + t\sigma \in T_{dF} \mathrm{Fol}_d^1(\mathbb{P}_K^n)$. We need to show that $\sigma = dG$ for some $G \in \mathbb{P}\mathbf{S}_p$.

- **Example:**

$$F = x_0 x_1^{p-1} + x_1 x_2^{p-1} + \cdots + x_{n-1} x_n^{p-1} + x_0^p$$

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Lemma

Let $\alpha \in H^0(\mathbb{P}_K^n, \Omega_{\mathbb{P}_K^n}^1(p))$ be a closed projective 1-form. Then there exists a homogeneous polynomial G of degree p , such that $\alpha = dG$.

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Proposition

Let K be an algebraically closed field of characteristic $p > 0$. For every integer $n \geq 3$ and $e \geq 1$, there exists an irreducible component $Cl_{pe-2}(\mathbb{P}_K^n)$ of $Fol_{pe-2}^1(\mathbb{P}_K^n)$ given by an open subset of the projectivization of the vector space of closed polynomial projective 1-forms of degree pe on \mathbb{A}_K^{n+1} .

¹⁵F. Cukierman, J. V. Pereira, I. Vainsencher — **Stability of foliations induced by rational maps**

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Theorem

^a Assume $1 \leq q < n - 1$. Consider the rational map

$$\begin{aligned} \Phi : \mathbb{P}\mathbf{S}_p \times \cdots \times \mathbb{P}\mathbf{S}_p &\dashrightarrow Fol_d^q(\mathbb{P}_K^n) \\ (F_1, \dots, F_q) &\mapsto dF_1 \wedge \cdots \wedge dF_q \end{aligned}$$

where $d = qp - q - 1$. Let U be the largest open subset where Φ is a morphism. Then the closure $\overline{\Phi(U)}$ of $\Phi(U)$ in is an irreducible component of $Fol_d^q(\mathbb{P}_K^n)$.

^aT. Fassarella, J. P. Figueredo and W. Mendson — **The space of p -closed foliations on projective spaces (in progress)**

¹⁵F. Cukierman, J. V. Pereira, I. Vainsencher — **Stability of foliations induced by rational maps**

Idea of proof

- Let $\underline{F} = (F_1, \dots, F_q) \in \mathbb{P}\mathbf{S}_p \times \dots \times \mathbb{P}\mathbf{S}_p$ such that the projective j -form

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- By multilinearity, the map induced in tangent spaces is given by

$$d\Phi_{\underline{F}}(\underline{G}) = \sum_{j=1}^q dF_1 \wedge \dots \wedge dF_{j-1} \wedge dG_j \wedge dF_{j+1} \wedge \dots \wedge dF_q.$$

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$$dF_i \wedge dF_j \wedge \eta = 0 \quad \forall i, j$$

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- The last formula implies that there are homogeneous 1-forms α_i , $i = 1, \dots, q$, such that

$$\eta = \sum_{j=1}^q dF_1 \wedge \dots \wedge dF_{j-1} \wedge \alpha_j \wedge dF_{j+1} \wedge \dots \wedge dF_q.$$

From this and $i_R\eta = 0$, we see that $i_R\alpha_j = 0$ for every $j = 1, \dots, q$. Then $\alpha_j \in H^0(\mathbb{P}_K^n, \Omega_{\mathbb{P}_K^n}^1(p))$, $j = 1, \dots, q$.

- Since $d\eta = 0$, differentiating both sides yields

$$\sum_{j=1}^q dF_1 \wedge \cdots \wedge dF_{j-1} \wedge d\alpha_j \wedge dF_{j+1} \wedge \cdots \wedge dF_q = 0$$

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- Comparing degrees, we see that all α_{ji} vanish identically. Hence $d\alpha_j = 0$ for every $j = 1, \dots, q$. It follows that there are homogeneous polynomials G_j of degree p such that $\alpha_j = dG_j$.

Why is not $q = n - 1$ included?

In the case $q = n - 1$, the integrability is automatic, but we can consider **the space of p -closed foliations**, $\mathrm{PFol}_d^{n-1}(\mathbb{P}_K^n)$, and study the problem of irreducible components in this space.

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- Consider the rational map

$$\begin{aligned} \Phi: \mathbb{P}\mathbf{S}_p \times \cdots \times \mathbb{P}\mathbf{S}_p &\dashrightarrow \mathrm{PFol}_d^{n-1}(\mathbb{P}_K^n) \\ (F_1, \dots, F_{n-1}) &\mapsto dF_1 \wedge \cdots \wedge dF_{n-1}. \end{aligned}$$

Let U be the largest open subset where Φ is a morphism.

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Remark

*So far, we do not know if there is an example of a foliation of the type $dF_1 \wedge \cdots \wedge dF_{n-1}$ in characteristic $p > 2$, which has a singular set of **codimension at least 3**. This prevents the division lemma from working, and it is not possible to apply the same technical lemmas as applied in case $q < n - 1$. Jason Starr provides answers in characteristic two on MathOverflow.*

About differential forms in positive characteristic

Asked 2 months ago Modified 2 months ago Viewed 575 times



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Fix K to be an algebraically closed field of characteristic $p > 0$. I would like to know if there exists an example of $F_1, \dots, F_{n-1} \in K[x_0, \dots, x_n]_p$ (homogeneous of degree p) such that the variety defined by the locus of $dF_1 \wedge \dots \wedge dF_{n-1}$ in \mathbb{P}_K^n ($n > 2$) has codimension at least 3. I appreciate any references or comments about this.

I asked this [here](#) but I did not get answers.

ag.algebraic-geometry

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asked Mar 23 at 15:01



numberwat

Thank you ;-)